

"Express Mail" mailing label number EL192294297US

Date of Deposit: October 20, 1999

Our Case No. 2393/504

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR UNITED STATES LETTERS PATENT

INVENTORS:

AMY L. GLAWE
c/o Viskase Corporation
6855 West 65th Street
Chicago, Illinois 60638
[citizen: USA]

ADRIANA NOBRE RUBO
Barão Do Atibaia
Number 825
Apt. 62
Campinan
São Paulo, Brazil
[citizen: Brazil]

STEVE J. VICIK
c/o Viskase Corporation
6855 West 65th Street
Chicago, Illinois 60638
[citizen: USA]

TITLE:

THERMOFORMABLE MULTI-LAYER FILM

ATTORNEY:

K. SHANNON MRKSICH
BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

5

THERMOFORMABLE MULTI-LAYER FILM

BACKGROUND OF THE INVENTION

The present invention relates to a novel, thermoformable multi-layer film useful in goods packaging, especially foodstuffs. The present invention more particularly relates to thermoplastic multi-layer films having good formability 10 during deep-draw thermoforming and resistance to abrasion, puncture, and impact, especially at low temperatures.

It is common practice to package articles, such as food products, in thermoplastic films to protect the product from abrasion and contamination. Thermoplastics also provide a convenient and durable package for further 15 processing, transportation, and ultimate sale to an end user. Current marketing and distribution practices in the sale of foodstuffs, particularly meat products, have put an increasing demand on the packaging materials to provide needed shelf life.

Thermoformable plastic films with low oxygen transmission rates are especially useful when packaging oxygen-sensitive products including meats. 20 Color retention of the product and extended shelf life are advantageous features of low oxygen permeability. It is also desirable to provide a packaging material that, after the thermoforming operation, will maintain a tight package appearance.

Special problems arise when large amounts of food, such as three kilograms of frankfurters, are packaged in a thermoformed film. Bulky or heavy 25 foodstuffs exert greater stresses upon the packaging film than the smaller packages common in the consumer market, especially if the package is dropped. Problems also arise with the use of in-line chillers following package closure because these chillers subject the packaged product to repeated impact and abrasion.

Packaging films fail when oxygen enters the package due to a hole in the 30 packaging film. Such a hole may arise in numerous ways including sealing failure, puncture, abrasion, or rupture. In the current application, the combination of product bulk, weight, cooling to 0° C, impact, and abrasion resulted in an extremely high incidence of film failure in traditional films. In many instances,

5 the hole is too small to be observed by the naked eye and is only apparent when the package no longer tightly fits the product because of air leakage or when the package is opened by the consumer to reveal spoiled product.

During the sealing of three-kilogram frankfurter packages using available films only 2% to 5% of the packages appeared to have failed during the sealing process. Within 24 hours of sealing, however, 10% to 15% of the packages had failed. When the packages reached the consumer, 40% to 50% of the packages had failed. This high failure rate of commercially available thermoforming films prompted development of the current invention.

BRIEF SUMMARY OF THE INVENTION

15 A multi-layer thermoformable plastic film comprising a outer layer comprising a blend of a very low density polyolefin, ethylene vinyl acetate, and a compatibilizer; an intermediate layer comprising a mixture of nylon copolymer and an amorphous nylon; a ^{inner} bottom layer comprising a polyolefin or ionomeric polymer; and at least one adhesive that bonds said ^{outer} top, intermediate, and ^{inner} bottom 20 layers together.

BRIEF DESCRIPTION OF SEVERAL VIEWS OF THE DRAWINGS

Figure 1 shows a cross-sectional view of the multi-layered thermoplastic film.

DETAILED DESCRIPTION OF THE INVENTION

25 Definitions

Amorphous Nylon: a nylon having no measurable melting point as measured by differential scanning calorimetry (DSC) using ASTM 3417-83.

Very Low Density (VLD): ethylene α -olefin copolymer having a density between 0.900 and 0.915.

30 Multi-Layer Thermoformable Film According to Invention

The present invention relates to packaging materials, and more particularly a packaging material useful in the packaging of items, including foodstuffs and

A

5 other oxygen-sensitive products, that encounter freezing temperatures, abrasion, or impact after packaging. The current multi-layer thermoformable film is useful in forming processes, such as vacuum skin packaging or thermoforming, where a package is formed and sealed. Good heat ~~sealability~~^{sealability} of the thermoform and closing films, in addition to optical clarity and suitable oxygen-barrier

10 performance, is provided. After sealing, the package resists leakage after cooling to 0° C and encountering abrasion and impact.

The current invention is particularly useful in packaging meat using an automatic deep-draw apparatus such as that typically described in U.S. Pat.

No. 3,491,504 to Young et al., U.S. Pat. No. 3,694,991 to Perdue et al., and U.S. 15 Pat. No. 3,636,678 to Moris et al. Radius plates may be incorporated into the bottom of the deep-draw mold to provide a more even polymer distribution in the thermoform corners.

Utility of Inventive Films

Thermoforming and other similar techniques are well known in the art for 20 packaging food products. A description of typical thermoforming techniques appears in *Modern Plastics Encyclopedia*, 1984-1985, at pages 329-336. Suitable thermoforming methods include standard, deep-draw, or plug-assist vacuum forming. During vacuum forming, the thermoplastic film is heated and a vacuum is applied beneath the film allowing atmospheric pressure to force the film into a 25 preformed mold. When relatively deep molds are employed, the process is referred to as a "deep-draw" application. In a plug-assist vacuum forming method, after the thermoplastic has been heated and sealed across a mold cavity, a plug shape similar to the mold shape impinges on the plastic film and, upon the application of vacuum, the film transfers to the mold surface.

30 After the thermoformed film, or thermoform, is in place, a product is placed on the thermoform and a second closing film is draped over the product. The package is evacuated and sealed with a device such as a heated jaw. The thermoform encloses a substantial portion, generally more than half, of the product

5 to be packaged. The closing film remains mostly flat atop the product and may display product labeling consisting of colored type, pictures, etc.

The current application employs a thermoformable film that is deep-drawn resulting in a thermoform. After being filled with a food product, the thermoform is bonded to a closing film containing the same sealing component composition as
10 the thermoform.

Vacuum skin packaging (VSP) is another process well known in the art that uses a thermoplastic packaging material to enclose a product. Various apparatus and processes are described in U.S. Pat. Nos. 3,835,618, 3,950,919, and Reissue 30,009, all issued to Perdue. The vacuum skin packaging process is in
15 one sense a type of thermoforming process in which an article to be packaged serves as the mold for the thermoform. An article may be placed on a support member, a card, either rigid or semi-rigid, or other bottom, and the supported article is then passed to a chamber where a top film is drawn upward against a heated dome and then draped over the article. The movement of the plastic top
20 film is controlled by vacuum and or air pressure, and in a vacuum skin packaging arrangement, the interior of the container is evacuated before final sealing of the top film to the support. Thermoformable material may be used as both the top film and bottom support in conjunction with an intermediate support for products held on either side of the support as shown for example in U.S. Pat. No. 3,966,045.

25 Outer Layer

Outer layer 12 comprises a material that can be thermoformed. Outer layer 12 should be a material that provides abrasion, puncture, and impact resistance, especially at or near 0° C. Outer layer 12 is a blend of very low-density polyolefin, ethylene vinyl acetate, a compatibilizer, and optionally a processing aid.
30

The blend typically comprises about 30% to 50%, preferably about 40% to 47%, most preferably about 44%, of a very low density polyolefin, based on the total weight of outer layer 12. Preferably, the very low-density polyolefin is a

5 very low-density polyethylene (VLDPE). Most preferably, the VLDPE is XU
61520.01 ethylene-octene copolymer, obtained from DOW, Midland, Michigan.

The blend typically comprises about 30% to 45%, preferably about 35% to
10 40%, most preferably about 36%, of a ethylene vinyl acetate, based on the total
weight of outer layer 12. Preferably, ethylene vinyl acetate, sold as LD 701.06 by
Exxon, Houston, Texas. Other sources of ethylene vinyl acetate or similar
polymers are available and can also be used, including ELVAX resin, DuPont
deNemours, Wilmington, Delaware and EVATANE, made by Elf Atochem in
France.

The blend typically comprises about 10% to 25%, preferably about 15% to
15 20%, most preferably about 15%, of a compatibilizer, based on the total weight of
outer layer 12. The compatibilizer prevents the very low-density polyolefin and
ethylene vinyl acetate from hazing, thereby ensuring good optics, such as gloss
and clarity, for the packaging film. Preferably, the compatibilizer is ethylene
α-olefin having a density less than 0.900 and a melting point range of about
20 56-75°C. Most preferably, the compatibilizer is Tafmer 1085, which is available
from Mitsui, Japan. Other sources of ethylene α-olefin or similar compatibilizers
are available and can also be used, including SLX9110 and EXACT 4053 from
Exxon in Texas.

The blend typically comprises about 200 to 2000 ppm, preferably about
25 1200 ppm, of a processing aid, based on the total weight of outer layer 12. The
processing aid assists during extrusion to allow smooth polymer flow and uniform
thickness of the various polymer layers in the multi-layered thermoplastic film.
Preferably, the processing aid is a fluroelastomer. A suitable fluroelastomer can
be obtained from Dyneon in Minnesota. Other sources of fluroelastomers or
30 similar process aids are available and can also be used, including products from
DuPont.

The blend which forms the outer layer 12 of the multi-layer
thermoformable film, is created by combining the above components prior to

5 formation of the film. The outer layer 12 typically has a thickness of about 45 µm to 75 µm, preferably about 55 µm to 63 µm, most preferably about 55 µm.

Although many patents referencing multi-layer thermoformable films are described in the literature, the added "toughness" imparted by the special VLDPE blend of the current invention makes the disclosed film uniquely suited to low-
10 temperature and other high-stress packaging operations. The increased puncture, impact, and abrasion resistance of outer layer 12 provides a significant benefit.

Intermediate Layer

Intermediate layer 14 is a polyamide mixture with "low" crystallinity that provides the multi-layer thermoformable film with the desired thermoformability
15 characteristics including good corner thickness uniformity, even when using a deep-draw mold. Intermediate layer 14 also provides the current multi-layer thermoformable film with oxygen-barrier characteristics. Intermediate layer 14 is typically a mixture of nylon copolymer and amorphous nylon.

The layer typically comprises about 75% to 92%, most preferably about
20 80% of nylon 6,66 based on the total weight of intermediate layer 14. The layer typically comprises about 8% to 25%, most preferably about 20% of amorphous nylon based on the total weight of intermediate layer 14. Most preferably, nylon 6,66 sold as CAPRON 1539F from Allied Signal in Morristown, New Jersey.
Other sources of nylon 6,66 or similar polymers are available and can also be
25 used, including CAPRON CA73YP, CA73WP, CA73QP and CAPRON CR95WP from Allied Signal. Also included is ULTRAMID C35 from BASF in Germany. Most preferably, the amorphous nylon sold as SELAR PA 3426 from DuPont in Wilmington, Delaware. Other sources of amorphous nylon or similar polymers are available and can also be used, including GRIVORY G21 from EMS in Switzerland and NORAMID X21 from Mitsubishi in Japan.

A The nylon 6,66 is typically an 85/15 copolymer with the 85 being the nylon 6 component having a melting point between about 195° and 200°C. The intermediate layer 14 typically has a thickness of about 45 µm to 75 µm, preferably of about 55 µm to 63 µm, most preferably of about 55 µm.

5 Intermediate layer 14 provides the benefit of increased corner thickness by allowing the multi-layer thermoformable film to evenly distribute, but not thin out, in the corners during thermoforming. Intermediate layer 14 also provides the oxygen barrier qualities necessary for an approximate 180-day shelf life in a refrigerated display case.

10 The current multi-layer thermoformable film allows less than 1cc of oxygen to pass through 100 in.² of packaging film over a 24-hour period when exposed to one atmosphere of differential pressure. Packaging films that pass less than 1cc of oxygen under these conditions are generally accepted to provide the requisite 180-day product shelf life.

15 Inner Layer
Inner layer 16, which is the sealing layer, comprises a material that can be thermoformed. Inner layer 16 should be a material that provides an integral, leak-proof package when sealed. Inner layer 16 is typically a polyolefin or ionomer. In the current application, ionomers were found to provide a superior seal, especially
20 in the presence of grease.

A Inner layer 16 typically comprises AFFINITY DL 1880, obtained from Dow Plastics, Midland, Michigan or Surlyn 1601, or most preferably SURLYN 1650 obtained from DuPont, Wilmington, Delaware. Other sources of polyolefins or similar polymers are available and can also be used, including
25 EVA's which can be obtained from Exxon, DuPont or ~~Eif~~ Atochem.

Inner layer 16 typically has a thickness of greater than about 37 µm, most preferably about 45 µm.

Adhesive Layer

Bonding interfaces 18 and 20 can be any adhesive compatible with layers
30 12, 14, and 16, preferably an anhydride modified polyolefin or polyolefin copolymer. Most preferably BYNEL 3095, which is available from Du Pont. Other sources of similar adhesives are available and can also be used, including adhesives from DuPont, Dow, Rohm & Haas and Mitsui.

5 The bonding interfaces 18 and 20 typically have a thickness of about 5 µm to 25 µm, preferably about 10 µm to 20 µm, most preferably about 15 µm.

Forming the Multi-Layered Thermoplastic Film

Blown and cast film coextrusion processes well known in the art may be utilized to produce the current multi-layer thermoformable film. Conventional
10 lamination techniques are also appropriate.

The current five-layer film produces a uniform gauge preform (thermoformed container) with excellent sealability and good optical qualities. Thermoforming conditions should be adjusted *via* normal practice to produce a uniform gauge in the preform corners. Total multi-layer thermoformable film
15 thickness should be about 150 to 210 µm, preferably about 165 to 195 µm, most preferably about 180 µm.

EXAMPLES

The following examples are provided to exemplify, but not limit, the scope of the multi-layer thermoformable film.

20 Example 1

Table I describes one preferred embodiment of the present invention, including polymer constituents and corresponding thickness for each layer of the multi-layer thermoformable film.

TABLE I

Outer (12)	Bonding (18)	Intermediate (14)	Bonding (20)	Inner (16)
VLDPE Blend	Bynel 3095	Nylon Mixture	Bynel 3095	Surlyn 1650
55 µm	15 µm	50 µm	15 µm	45 µm

25 Referring to FIG. 1, current multi-layered thermoplastic film 10 comprises a outer layer 12, an intermediate layer 14, and a ^{inner} bottom layer 16. These layers are bonded together at interfaces 18 and 20 by an adhesive compatible with the layers.

5 Example 2

When developing the inventive multi-layer thermoformable film, expensive thermoforming trials were minimized by a laboratory developed "creep test" to mimic thermoformability performance of different plastic packaging films. The creep test is conducted by taking the film and cutting out a strip measuring 10 1x10 inches. It doesn't appear that the orientation (machine direction or transverse direction) is critical because the film is not highly oriented. In the middle of the strip, two lines are drawn 5 inches apart. The film is suspended by clamping a portion of the film above one of the lines. Then a 187 gm weight is clamped to the lower end of the film below the second of the lines. After 5 min. at 15 160°F, the distance between the two lines is measured. If the elongation is less than 0.5 inches, the creep is considered "low." If the elongation is greater than 0.75 inches, the creep is considered "high." For purposes of the invention, a higher creep is better. Prior to thermoforming, crystallinity measurements were recorded for the hot-blown films using differential scanning ~~calorimetry~~ to predict thermoformability. Puncture resistance was simulated by measuring the 20 force in Kg/Cm required to puncture the film.

The superior performance of the invention in relation to commercially available films was demonstrated with a production-line trial. Multiple combinations of film layers, including the multi-layer thermoformable film of the 25 current invention, were coextruded and thermoformed with a R7000 thermoformer manufactured by Multivac of Kansas City.

Three kilograms of frankfurters were loaded into the resultant thermoforms and a closing film was sealed in place. A mechanical chiller employing a 0° C brine solution followed the sealing process. After passing through the chiller, the 30 chilled packages dropped onto a conveyor and then into boxes.

The actual percentage of holes which resulted in the frankfurter packages after chilling and impact with the conveyor was determined by inserting a pressurized air line into the packages while the packages were submerged in water. These tests demonstrated that for the current deep-draw operation a corner 35 film thickness of greater than about 25 µm was required to ensure that the

5 packaging film would not fail after abrasion in the chiller and impact from the fall to the conveyor. The production-line trial results are shown below in Table II.

TABLE II

Plastic Film Type	% Holes In Film After Chilling at 0°C	Thermoforming (DSC) J/g	Puncture (Kg-Cm)
Current Film	3.23	8	16
Film A	8.78	18	13
Film B	6.32	18	18
Film C	5.57	14	13

One embodiment of the invention is the multi-layer thermoformable film represented in Table I. Other films tested, represented as A through C in Table II, consisted of the following component layers:

Film A: Nylon/Adhesive/PE/Adhesive/Ionomer;

Film B: VLDPE/Adhesive/Nylon/Adhesive/Ionomer; and

Film C: Nylon/Adhesive/VLDPE/Adhesive/Ionomer. The embodiment represented in Table I lowered package failure rates to 3.23% during the large-scale production run.